



# ATTORNEY DOCKET ATM-337 PATENT APPLICATION

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Van Buskirk et al

Group Art Unit: 2812

U.S. Application No.: 09/200,495

Examiner: Not Assigned

Filed: November 25,1998

Title: "Oxidative Top Electrode Deposition Process, and Microelectronic Device Structure"

"Express Mail" mailing label number: \_EL()51868342US Date of Deposit: February 19, 1998

I hereby certify that this paper or fee is being deposited with the

United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents Washington, DC 20231.

Maggie Chappuis

(Typed or printed name of person mailing paper or fee)

#### INFORMATION DISCLOSURE STATEMENT

**Assistant Commissioner for Patents** Washington, D.C. 20231

CEUID STOO

Sir:

Pursuant to 37 C.F.R. §1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449. One copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- ☑ 1. This Information Disclosure Statement is being filed within three months of the U.S. filing date OR before the mailing date of a first Office Action on the merits. No certification or fee is required.
- \(\sigma\) 2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.
  - a. I hereby certify that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in

### **ATTORNEY DOCKET ATM-337**

a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. §1.97(e)(1).

□ b.	I hereby certify that no item of information in this Information Disclosure
	Statement was cited in a communication from a foreign patent office in a
	counterpart foreign application or, to my knowledge after making reasonable
	inquiry, was known to any individual designated in 37 CFR §1.56(c) more than
	three months prior to the filing of this Information Disclosure Statement. 37
	C.F.R. §1.97(e)(2).

□ c.	Attached is our check no	in the a	amount of \$ <u>2</u>	<u>20</u> in payment	of the	fee under
	37 C.F.R. §1.17(p). Please	credit (	or debit Dep	osit Account	No. <u>08</u>	3-3284 as
	needed to ensure consideration	on of t	the disclosed	information.	Two	duplicate
	copies of this paper are attached	ed.				

□ 3.	This Information Disclosure Statement is being filed more than three months after the
	U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance,
	but before payment of the Issue Fee. Applicant(s) hereby petition(s) that the Information
	Disclosure Statement be considered. Attached is our check no in the amount of
	\$130.00 in payment of the petition fee under 37 C.F.R. §1.17(i)(1). Please credit or debit
	Deposit Account No as needed to ensure consideration of the disclosed information.
	Two duplicate copies of this paper are attached.

□ a.	I hereby certify that each item of information contained in this Information
	Disclosure Statement was cited in a communication from a foreign patent office in
	a counterpart foreign application not more than three months prior to the filing of
	this Information Disclosure Statement. 37 C.F.R. §1.97(e)(1).

□ b.	I hereby certify that no item of information in this Information Disclosure
	Statement was cited in a communication from a foreign patent office in a
	counterpart foreign application or, to my knowledge after making reasonable
	inquiry, was known to any individual designated in 37 CFR §1.56(c) more than
	three months prior to the filing of this Information Disclosure Statement. 37
	C.F.R. §1.97(e)(2).

☐ 4. (other):

Date: February 18, 1999

Respectfully submitted,

Oliver A. Zitzmann Registration No. 38,691 Agent for Applicant

Advanced Technology Materials, Inc. 7 Commerce Drive Danbury, CT 06810 Telephone: (203) 794-1100

Facsimile: (203) 797-2544 Agent Ref: ATM-337